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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OCT 07 1999

Group 2700

Re Application of: Jay S. Walker et al.)
)
For: SYSTEM AND METHOD FOR) Examiner: Hrayr Sayadian
PERFORMING LOTTERY)
TICKET TRANSACTIONS)
UTILIZING POINT-OF-SALE)
TERMINALS)
)
Serial No.: 08/822,709) Group Art Unit: 2766
)
Filing Date: March 21, 1997) Docket No.: WD2-97-006

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

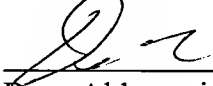
Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Supplemental Information Disclosure Statement;
2. Form PTO-1449;
3. Copy of references cited therein(10); and
4. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated filing fee in the amount of \$ 240.00 to Deposit Account No. 50-0271. Order No. WD2-97-006. The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of these papers, or credit any overpayment, to Deposit Account No. 50-0271. Order No. WD2-97-006. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,



Dean Alderucci
Attorney for Applicants
PTO Reg. No 40,484
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905

September 30, 1999
Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on September 30, 1999.

Margaret N. Kaswer
Typed Name of Person Making Deposit



Signature

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Date

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In re Application of: Jay S. Walker et al.)

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Examiner: Hrayr Sayadian

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Group Art Unit: 2766

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Docket No.: WD2-97-006

Assistant Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed below and on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider these items and to independently ascertain their teaching.

10/06/1999 CVORACHA 00000068 500271 08822709

01 FC:126 240.00 CH

- A. U. S. Patent No. Re. 34,915 entitled "PAPERLESS SYSTEM FOR DISTRIBUTING REDEEMING AND CLEARING MERCHANDISE COUPONS", issued to Steven Nichtberger et al. on April 25, 1995.
- B. U. S. Patent No. 5,615,269 entitled "IDEAL ELECTRONIC NEGOTIATIONS", issued to Silvio Micali on March 25, 1997.
- C. U. S. Patent No. 5,620,079 entitled "COIN COUNTERSORTER AND COUPON/VOUCHER DISPENSING MACHINE AND METHOD", issued to Jens H. Molbak on April 15, 1997.
- D. U. S. Patent No. 5,621,201 entitled "AUTOMATED PURCHASING CONTROL SYSTEM", issued to Stephen Langhans et al. On April 15, 1997.
- E. U. S. Patent No. 5,621,640 entitled "AUTOMATIC PHILANTHROPIC CONTRIBUTION SYSTEM", issued to Bertram V. Burke on April 15, 1997.
- F. U. S. Patent No. 5,632,010 entitled "TECHNIQUE FOR COMMUNICATING WITH ELECTRONIC LABELS IN AN ELECTRONIC PRICE DISPLAY SYSTEM", issued to George T. Briechele et al. On May 20, 1997.
- G. U. S. Patent No. 5,664,115 entitled "INTERACTIVE COMPUTER SYSTEM TO MATCH BUYERS AND SELLERS OF REAL ESTATE, BUSINESSES AND OTHER PROPERTY USING THE INTERNET", issued to Richard Fraser on September 2, 1997.
- H. U. S. Patent No. 5,665,953 entitled "SELF-CONTAINED PERSONAL DATA COLLECTOR FOR REDEEMING COUPONS", issued to Anthony Mazzamuto et al. On September 9, 1997.

- I. U. S. Patent No. 5,724,886 entitled "COOKED FOOD STAGING DEVICE AND METHOD", issued to Henry T. Ewald et al. On March 10, 1998.

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- J. PCT, International Publication Number: WO 97/35441 entitled "INCENTIVE BASED INFORMATION GENERATION SYSTEM", issued to Michael W. Walden on September 25, 1997.

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1. ☐ Any copy of the items listed above and on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation in part application filed under 37 C.F.R. §§ 1.53 or 1.60, U.S. Serial No. _____, filed _____.
2. ☐ For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____.
3. ☐ For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☐ In addition to the citations listed above, applicants respectfully direct the Examiner's attention to the following U.S. patent applications, which are commonly assigned to the assignee of the instant application, and which may be deemed pertinent to the instant application:

| <u>Serial No.</u> | <u>Inventors</u> | <u>Filing Date</u> | <u>Group Art Unit</u> | <u>Examiner's Init.</u> |
|-------------------|------------------|--------------------|-----------------------|-------------------------|
|-------------------|------------------|--------------------|-----------------------|-------------------------|

The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application.

5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
 - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
 - ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
6. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 5 above but before the mailing date of a

final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below.

7. ☒ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 11 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 11 below.
9. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
 - ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
 - ☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 11 below.
10. ☐ I hereby certify:
- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.


11. ☒ Please accept payment of the fees due as indicated below:

- ☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- ☒ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose
- ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- ☐ The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.

12. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

Respectfully submitted,

September 30, 1999
Date



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